



Series 9/10 Exam Flashcard Study System: Series 9/10 Test Practice Questions & Review for the General Securities Sales Supervisor Qualification Exam

By Series 9/10 Exam Secrets Test Prep Team

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Series 9/10 Exam Flashcard Study System uses repetitive methods of study to teach you how to break apart and quickly solve difficult test questions on the General Securities Sales Supervisor Qualification Exam. Study after study has shown that spaced repetition is the most effective form of learning, and nothing beats flashcards when it comes to making repetitive learning fun and fast. Our flashcards enable you to study small, digestible bits of information that are easy to learn and give you exposure to the different question types and concepts. Series 9/10 Exam Flashcard Study System covers: Contracts, Government and Corporate Bonds, Mutual Funds, Conventional IRAs and Roth IRAs, Municipal Fund Securities, ERISA (Employee Retirement Income Security Act), Municipal Securities Rulemaking Board (MSRB), Breakeven Price, Short Call Strategy, Losses, Straddles and Combinations, Spreads, Short Put Spread, Credit Spreads, Equity Registered Options Principal (EROP), Debt Registered Options Principal (DROP), Foreign Currency Registered Options Principal (FCROP), Maximum Potential Gain, Ratio Spreads, Synthetic Long Call, Options Clearing Corporation, OCC Rules, Position Limits, Long-term Equity Anticipation (LEAP), Market Index, Dow Jones Industrial Average, S&P 100 (OEX), Index Options, Major Market Index (XMI), Value Line Contract (VLE), Treasury Bill Interest Rate (IRX), Short Strangle, Foreign Currency, The Philadelphia Stock Exchange (PHLX), Interest Rate Options, Foreign Currency Options, Naked Options, Options Agreement, Registered Options Principal (ROP), Third Party Trading, Senior Registered Options Principal (SROP), Compliance Registered Options Principal (CROP), Types of Communications, Confirmation, Regulation T, Stock Position, IRS Regulations, Wash Sale Rule, Duties of an Order Book Official and a Board Broker, Floor Broker and a Marker Maker, FINRA policies, Activities Prohibited by the FINRA, FINRA Code of Procedure, and much more...

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